

B08369-00002

## APPLICANT:

John F. Catalano and Paul H. Magnant

(Use several sheets if necessary)

FILING DATE:

GROUP:

J0825 U.S. PRO  
09/671257  
10/02/00

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER										DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
<i>Sc</i>	BA	5	9	3	7	0	8	2			Aug. 10, 1999	Funada	382	125	
	BB	5	9	1	5	0	3	5			Jun. 22, 1999	Hsiao et al.	382	125	
	BC	5	8	8	3	9	7	1			Mar. 16, 1999	Bolle et al.	382	124	
	BD	5	6	3	1	9	7	1			May 20, 1997	Sparrow	382	125	
	BE	5	5	0	9	0	8	3			Apr. 16, 1996	Abtahi et al.	382	124	
	BF	5	4	9	3	6	2	1			Feb. 20, 1996	Matsumura	382	125	
	BG	5	4	2	0	9	3	7			May 30, 1995	Davis	382	125	
	BH	5	1	7	7	7	9	2			Jan. 5, 1993	Morita	382	4	
	BI	5	1	0	5	4	6	7			Apr. 14, 1992	Kim et al.	382	4	
	BJ	4	8	7	2	2	0	3			Oct. 3, 1989	Asai et al.	382	4	
	BK	4	2	0	8	6	5	1			Jun. 17, 1980	McMahon	340	146.3	
	BL	4	0	4	7	1	5	4			Sept. 6, 1977	Vitols et al.	340	146.3	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BM							
	BN							
	BO							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

	BP		
	BQ		
	BR		

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LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

B08369-00002

SERIAL NO.

APPLICANT:

John F. Catalano and Paul H. Magnant

FILING DATE:

GROUP:

JC825 U.S. PRO  
09/677257  
10/02/00

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA	6	0	9	2	1	9	2					
<i>Scce</i>	AB	6	0	7	2	8	9	5	Jun. 6, 2000	Bolle et al.	382	125	
	AC	6	0	6	4	7	5	3	May 16, 2000	Bolle et al.	382	125	
	AD	6	0	4	9	6	2	1	Apr. 11, 2000	Jain et al.	382	125	
	AE	6	0	4	9	6	2	0	Apr. 11, 2000	Dickinson et al.	382	124	
	AF	6	0	4	1	1	3	3	Mar. 21, 2000	Califano et al.	382	124	
	AG	6	0	2	3	5	2	2	Feb. 8, 2000	Draganoff et al.	382	124	
	AH	6	0	1	8	5	8	6	Jan. 25, 2000	Kamei	382	125	
	AI	6	0	1	6	4	7	6	Jan. 18, 2000	Maes et al.	705	1	
	AJ	6	0	0	5	9	6	3	Dec. 21, 1999	Bolle et al.	382	124	
	AK	5	9	9	5	6	4	0	Nov. 30, 1999	Bolle et al.	382	124	
	AL	5	9	6	3	6	5	6	Oct. 5, 1999	Bolle et al.	382	124	
<i>Scce</i>	AM	5	9	5	3	4	4	3	Sep. 14, 1999	Hiratsuka et al.	382	125	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
<i>Scce</i>	AN	WO 99/26187	27 May 1999	International				
	AO	WO 99/56429	4 November 1999	International				
<i>Scce</i>	AP	H10-63844	6 March 1998	Japan				YES

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

<i>Scce</i>	AQ	"Adaptive flow orientation based feature extraction in fingerprint images," by Ratha et al., Department of Computer Science, Michigan State University, Michigan, February 12, 1995.
	AR	"An Identity Authentication System Using Fingerprints," by Jain et al., Department of Computer Science, Michigan State University, Michigan, and Exploratory Computer Vision Group, IBM T.J. Watson Research Center, New York.
	AS	"Fingerprint Image Enhancement: Algorithm and Performance Evaluation," by Hong et al., Pattern Recognition and Image Processing Laboratory, Department of Computer Science, Michigan State University, Michigan.

EXAMINER

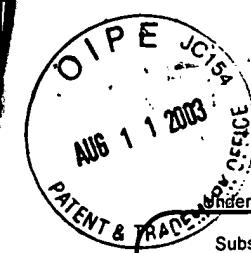
*Sheel Chauhan*

DATE CONSIDERED

*11/24/03*

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*Page # 2*



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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 3

Complete if Known

Application Number	09/677,257
Filing Date	October 2, 2000
First Named Inventor	John F. CATALANO
Art Unit	2621
Examiner Name	
Attorney Docket Number	103873-48191

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### U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
<i>SC</i>		US- 4,794,260	12-27-1988	Asano et al.	
		US- 5,736,734	04-07-1998	Marcus et al.	
		US- 5,917,928	06-29-1999	Shpuntov et al.	
		US- 6,381,347 B1	04-30-2002	Teng et al.	
		US- 4,817,183	03-28-1989	Sparrow	
		US- 5,140,642	08-18-1992	Hsu et al.	
		US- 5,572,597	11-05-1996	Chang et al.	
		US- 5,883,971	03-16-1999	Bolle et al.	
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		US- 6,005,963	12-21-1999	Bolle et al.	
		US- 6,018,586	01-25-2000	Kamei	
		US- 6,111,978	08-29-2000	Bolle et al.	
		US- 6,134,340	10-17-2000	Hsu et al.	
		US- 6,181,807 B1	01-30-2001	Setlak et al.	
		US- 6,195,448 B1	02-27-2001	Schiller	
		US- 6,212,290 B1	04-03-2001	Gagne et al.	
		US- 6,241,288 B1	06-05-2001	Bergenek et al.	
<i>SC</i>		US- 6,266,433 B1	07-24-2001	Bolle et al.	

### FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner Signature	<i>Sheel Chauhan</i>	Date Considered	<i>10/24/03</i>
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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

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Sheet 12

of 3

## Complete if Known

Application Number	09/677,257
Filing Date	October 2, 2000
First Named Inventor	John F. CATALANO
Art Unit	2621
Examiner Name	

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## U. S. PATENT DOCUMENTS

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		Number-Kind Code <sup>2</sup> (if known)			
SCR		US- 6,314,197 B1	11-06-2001	Jain et al.	
		US- 6,400,836 B2	06-04-2002	Senior	
		US- 5,426,708	06-20-1995	Hamada et al.	
		US- 5,613,014	03-18-1997	Eshera et al.	
		US- 5,631,972	05-20-1997	Ferris et al.	
		US- 5,659,626	08-19-1997	Ort et al.	
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		US- 6,185,318 B1	02-06-2001	Jain et al.	
		US- 2002/0012455 A1	01-31-2002	Benckert	
		US- 2002/0060243 A1	05-23-2002	Janiak et al.	
		US- 2002/0089410 A1	07-11-2002	Janiak et al.	
		US- 2002/0030359 A1	03-14-2002	Bergenek et al.	
		US- 2002/0034322 A1	03-21-2002	Lichtermann et al.	
✓		US- 2002/0061125 A1	05-23-2002	Fujii	
SCR		US- 2002/0018585 A1	02-14-2002	Kim	
		US-			

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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner Signature

Sheet 12

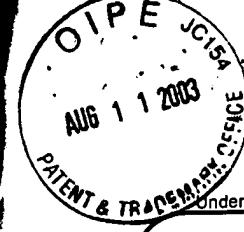
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(Use as many sheets as necessary)

Sheet

3

of

3

Complete if Known

Application Number

09/677,257

Filing Date

October 2, 2000

First Named Inventor

John CATALANO

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Art Unit

2651

Examiner Name

Technology Center 2600

Attorney Docket Number

103873-48191

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
SCE		"An Improved Image Enhancement Scheme for Fingerprint Minutiae Extraction In Biometric Identification", source(s): Universidad Politenica de Madrid, 7-17-2002, Pages 1-9. Web address 216.239.37.100	
		"Automated Fingerprint identification System", Hyundai, 2002	
		"Extraction of Singular Points from Directional Fields of Fingerprints", University of Twente, February 2001	
		"An Intrinsic Coordinate System for Fingerprint Matching", University of Twente, June 6-8, 2001 Pages 1-7, 3rd Instructional Conference on Audio and Video based Biometric Person Authorization, Sweden	
		"Learning Fingerprint Minutiae Location and Type", author(s); Salil Prahhakar, Anil K. Jain, Sharath Pankanti, September 3-8, 2000, pages 1-27	
	✓	"A Fingerprint Classification Technique Using Directional Images", Yildiz Technical University	
		"Fingerprint Image Enhancement using Filtering Techniques", Ben-Gurion University, 7/17/02, pages 1-11, web address 216.239.35.100	
		"Graphics and Security: Exploring Visual Biometrics", ComputerGraphics	
SCE		"Verification Watermarks on Fingerprint Recognition and Retrieval", Minerva M. Yetung, Sharath Pankanti	

Examiner Signature	Sheels Chouhan	Date Considered	11/20/03
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